

5831 SUPCT/PTO 27 JUN 2002 #5

Attorney's Docket No. E507

IN THE UNITED STATES PATENT AND TRADEMARK OFFICEIn re new U.S. patent application of  
Alf HAMMES

Serial No. 10/070,071

Filed: March 1, 2002

For: LOW-VISCOUS CELLULOSE ETHERS THAT FLOCCULATE IN HOT WATER;  
METHOD FOR THE PRODUCTION THEREOF AND THEIR USE

Attn: Box Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATIONAssistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated May 1, 2002, submitted herewith for filing in the above-identified application is the executed Declaration. A copy of the PTO form is also enclosed.

The Commissioner is hereby authorized to charge the surcharge due (37 CFR 1.16(e)) in the amount of \$130.00 to Deposit Account No. 03-2060. The Commissioner is also authorized to charge any fee deficiency asserted to be paid, or which should have been paid herewith, or with any paper hereafter filed in this application and credit any fee overpayment to Deposit Account No. 03-2060.

Respectfully submitted,

  
 Scott E. Hanf, Registration No. 38,906

(CUSTOMER NUMBER 25,255)

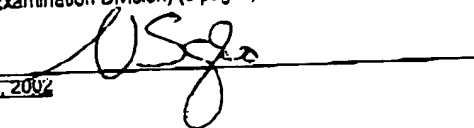
 Clariant Corporation  
 Industrial Property Department  
 4000 Monroe Road  
 Charlotte, NC 28205  
 New Phone 704 331-7140  
 New Fax 704 331-7707
CERTIFICATE OF MAILING/TRANSMISSION (37 CFR 1.8a) and 1.10

I hereby certify that this correspondence is, on the date shown below, being transmitted by facsimile to the Assistant Commissioner for Patents, (Fax No. (703) 308-7751 (Initial Patent Examination Division) (5 pages)

07/15/2002 LLANDERA 00000040 032060

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 10070071  
 Vicki L. Sgro  
 Date: June 27, 2002
 

**COMBINED DECLARATION FOR PATENT APPLICATION AND POWER OF ATTORNEY**

As below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below, I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

**Low-viscous cellulose ethers that flocculate in hot water, method for the production thereof and their use**

the specification of which

☐ is attached hereto

☒ was filed on September 01, 2000, as International Patent Application PCT/EP00/08538

and including all the amendments through the date hereof.

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

**Prior Foreign Application(s) for which Priority is Claimed:**

Federal Republic of Germany, 199 41 893.4, of September 03, 1999

As a named inventor, I hereby appoint the following registered practitioner(s), respectively and individually, as my attorneys and/or agents, with full power of substitution and revocation, to prosecute this application, and transact all business in the U.S. Patent and Trademark Office:

**CUSTOMER NUMBER 25,255**



25255

PATENT TRADEMARK OFFICE

Please address all communications to Clariant Corporation, Industrial Property Department, 4331 Chesapeake Drive, Charlotte, North Carolina 28216, telephone number 704/395-6712, facsimile number 704/395-6727.

10010071.062702

1999DE507 PCT US


I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

INVENTOR(S) / Residence

1-00

- 1) Alf HAMMES,  
Marie-Juchacz-Strasse 21  
55252 Mainz-Kastel, Germany

DEX

Signature: 

Date: 25.02.02

Citizenship: German

Mailing Address of Inventor:

Clariant Service GmbH  
Patente, Marken, Lizenzen  
Am Unisys-Park 1  
65843 Sulzbach  
Germany



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
United States Patent and Trademark Office  
Washington, D.C. 20231  
www.uspto.gov

U.S. APPLICATION NUMBER NO. 10/070,071	FIRST NAMED APPLICANT Alf Hammes	ATTY. DOCKET NO. 1999DE507
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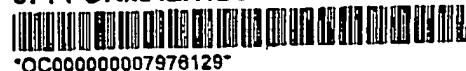
INTERNATIONAL APPLICATION NO. PCT/EP00/08538
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I.A. FILING DATE 09/01/2000	PRIORITY DATE 09/03/1999
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Anthony a Bisulca  
Clariant Corporation  
4331 Chesapeake Drive  
Charlotte, NC 28216

CONFIRMATION NO. 7262

371 FORMALITIES LETTER



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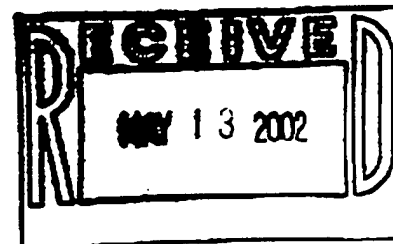
Date Mailed: 05/01/2002

Due 7-1-02

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- English Translation of the IA
- Oath or Declaration
- Request for Immediate Examination



The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- \$130 Surcharge for providing the oath or declaration later than the appropriate 30 months months from the priority date (37 CFR 1.492(e)) is required.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

**SUMMARY OF FEES DUE:**

Total additional fees required for this application is **\$130** for a Large Entity:

- **\$130** Late oath or declaration Surcharge.

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

JOHN L ANDERSON

Telephone: (703) 308-9116

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/070,071	PCT/EP00/08538	1999DE507